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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

11 MICHAEL R. FLETCHER, ) Case No. CV 10-02268 DDP (ANx)  
12 Plaintiff, )  
13 v. ) ORDER (1) DENYING PLAINTIFF'S  
14 STATE OF MISSOURI; MISSOURI ) REQUEST TO WITHDRAW HIS FIRST  
SUPREME COURT; MARY RUSSELL; ) AMENDED COMPLAINT, (2) DENYING  
15 LINDA TURLEY; ORTRIE SMITH; ) PLAINTIFF'S REQUEST FOR  
GARY FENNER; FEDERAL ) EVIDENTIARY HEARING, (3) GRANTING  
16 DISTRICT COURT FOR THE ) FEDERAL DEFENDANTS' MOTION TO  
WESTERN DISTRICT OF ) DISMISS, (4) GRANTING MISSOURI  
17 MISSOURI; ROBERT LARSEN; LOS ) STATE DEFENDANTS' MOTION TO  
ANGELES COUNTY PROSECUTOR'S ) DISMISS; (5) DENYING PLAINTIFF'S  
18 OFFICE AND SCOTT CARBAUGH, ) VARIOUS REQUESTS AND  
Defendants. ) APPLICATIONS; AND (6) DISMISSING  
 ) WITHOUT PREJUDICE CLAIMS AGAINST  
 ) THE CALIFORNIA STATE DEFENDANTS  
 )  
 )

20 This matter comes before the Court on (1) a request to  
21 withdraw the First Amended Complaint ("FAC") and a request for an  
22 evidentiary hearing filed by the plaintiff Michael R. Fletcher  
23 ("Plaintiff"), appearing pro se, and (2) motions to dismiss filed  
24 by the Federal Defendants and by the Missouri State Defendants.<sup>1</sup>

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27 <sup>1</sup> The Federal Defendants include Judge Ortrie Smith, Judge  
Gary Fenner, the Federal District Court for the Western District of  
Missouri, Judge Robert Larsen, and the United States.  
28 The Missouri State Defendants include the State of  
Missouri, the Missouri Supreme Court, Judge Mary Russell, and Judge  
Linda Turley.

1       After reviewing the parties' submissions, the Court DENIES  
2 Plaintiff's request to withdraw the FAC, DENIES Plaintiff's request  
3 for an evidentiary hearing, GRANTS both motions to dismiss, DENIES  
4 Plaintiff's various other applications and requests, and DISMISSES  
5 WITHOUT PREJUDICE the claims against certain California defendants.

6 **I. BACKGROUND**

7       Plaintiff is an attorney from Missouri. Following an  
8 investigation of attorney misconduct in 2004, the United States  
9 District Court for the Western District of Missouri ("WDMO")  
10 suspended Plaintiff from practicing law in that court. The United  
11 States Court of Appeals for the Eighth Circuit affirmed the WDMO's  
12 decision in 2005. In re Fletcher, 424 F.3d 783 (8th Cir. 2005).  
13 In 2006, The Missouri Supreme Court found Fletcher violated the  
14 Rules of Professional Conduct and suspended him from the practice  
15 of law. The court also held that it would not entertain any  
16 application for reinstatement until the WDMO reinstated Fletcher's  
17 license.

18       Fletcher moved to California in 2006. The WDMO denied  
19 Fletcher's petitions for reinstatement in January 2008 and March  
20 2009. The Missouri Supreme Court also denied Fletcher's petition  
21 for reinstatement in May 2008 and his petition for reconsideration  
22 of the denial in February 2010.

23       On February 26, 2010, Plaintiff commenced this action against  
24 the Federal Defendants and the Missouri State Defendants, as well  
25 as certain California defendants, in Los Angeles Superior Court,  
26 alleging nineteen causes of action relating to the denials of  
27 reinstatement.

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1       On March 29, 2010, the Federal Defendants filed a notice of  
2 removal pursuant to 28 U.S.C. § 1442(a), as well as a notice of the  
3 Attorney General's certification that "defendants United States  
4 District Judges Gary Fenner and Ortrie Smith and United States  
5 Magistrate Judge Robert Larsen were acting within the course and  
6 scope of their official United States Government employment at all  
7 times material." (Notice of Substitution 4:10-17.) Pursuant to 28  
8 U.S.C. § 2679(d)(2), the Federal Defendants requested that the  
9 United States be substituted as Defendant in their place as to the  
10 common law claims.

11       On April 12, 2010, Plaintiff filed the FAC. On April 19,  
12 2010, Plaintiff filed a request for an evidentiary hearing  
13 regarding the Attorney General's certification and a request to  
14 withdraw the FAC. The Federal Defendants filed a motion to dismiss  
15 the FAC on April 22, 2010, and the Missouri State Defendants filed  
16 a motion to dismiss the FAC on April 26, 2010.

17 **II. DISCUSSION**

18 **A. Request to Withdraw FAC**

19       On April 9, 2010, the Federal Defendants filed an Ex Parte  
20 Application for an extension of time to file an answer to the  
21 Complaint. Before the Court could rule on the Application,  
22 Plaintiff filed the FAC on April 12, 2010. On April 15, 2010, the  
23 Court vacated the Application as moot in light of the FAC. On  
24 April 19, 2010, Plaintiff filed a request to withdraw the FAC,  
25 which appears to be based on Plaintiff's belief that the Federal  
26 Defendants waived their Rule 12 defenses by failing to file a  
27 timely responsive pleading to the Complaint. (Request to Withdraw  
28 FAC, Dkt. No. 14, ¶ 7 ("Plaintiff asserts that a party may not re-

1 plead a claim that has already been lost by failing to file an  
2 answer."))

3 As to his argument that the Federal Defendants have waived  
4 their Rule 12 defenses, Plaintiff is incorrect. The Ninth Circuit  
5 "allows a motion under Rule 12(b) any time before the responsive  
6 pleading is filed." Aetna Life Ins. Co. v. Alla Med. Servs., Inc.,  
7 855 F.2d 1470, 1474 (9th Cir. 1988). Furthermore, the FAC appears  
8 to be substantively indistinguishable from the Complaint.  
9 Therefore, Plaintiff's request to withdraw the FAC is DENIED.

10 **B. Westfall Certification and Request for Evidentiary  
11 Hearing**

12 Plaintiff requests an evidentiary hearing to contest the  
13 Attorney General's Westfall Act Certification that the Federal  
14 Defendants were acting within the scope of their employment.  
15 (Request for Evidentiary Hearing Regarding Defendants' Argument  
16 that Defendants Were Acting in the Course and Scope of Their  
17 Employment, Dkt. No. 13.)

18 The Federal Tort Claims Act ("FTCA"), 28 U.S.C. § 1346,  
19 "permits suits against the United States for injuries caused within  
20 a government employee's scope of employment." Kashin v. Kent, 457  
21 F.3d 1033, 1036 (9th Cir. 2006). "The Westfall Act amended the  
22 FTCA to provide that if the Attorney General certifies that a  
23 federal government employee was acting within the scope of  
24 employment when the tort occurred, then the United States shall be  
25 substituted as the defendant in a tort suit against the employee."  
26 Id. (citing 28 U.S.C. § 2679(d)). Upon such certification, "the  
27 government employee is dismissed from the suit, and is immune from  
28

1 other civil actions arising from the alleged tort." Id. (citing 28  
 2 U.S.C. § 2679(b)(1)).

3 The plaintiff may challenge the Attorney General's scope of  
 4 employment certification. Gutierrez de Martinez v. Lamagno, 515  
 5 U.S. 417, 420 (1995); Meridian Int'l Logistics, Inc. v. United  
 6 States, 939 F.2d 740, 745 (9th Cir. 1991). The party seeking  
 7 review of the Westfall certification "bears the burden of  
 8 presenting evidence and disproving the Attorney General's decision  
 9 to grant or deny scope of employment certification by a  
 10 preponderance of the evidence." Green v. Hall, 8 F.3d 695, 698  
 11 (9th Cir. 1993). The district court is "authorized to hold an  
 12 evidentiary hearing and resolve disputed questions of fact."  
 13 Pelletier v. Federal Home Loan Bank of San Francisco, 968 F.2d 865,  
 14 874 (9th Cir. 1992). However, "no hearing is needed where even if  
 15 the plaintiff's assertions were true, the complaint allegations  
 16 establish that the employee was acting within the scope of his/her  
 17 employment." Dolan v. United States, 514 F.3d 587, 593 (6th Cir.  
 18 2008) (quotation marks and citation omitted).

19 In this case, the Attorney General filed a notice of  
 20 substitution as to Plaintiff's common law tort claims against the  
 21 Missouri federal judges. Fletcher opposes the certification and  
 22 requests an evidentiary hearing to determine whether the federal  
 23 judges were acting within the scope of their employment.

24 In determining whether the federal judges were acting within  
 25 the scope of their employment, "we apply the respondeat superior  
 26 principles of the state in which the alleged tort occurred." Green  
 27 v. Hall, 8 F.3d 695, 699 (9th Cir. 1993). Because the nexus of the  
 28 Missouri federal judges' actions in denying reinstatement of the

1 Plaintiff's law license was in Missouri, Missouri law applies.  
2 Under Missouri law, "respondeat superior applies to torts committed  
3 while the employee is engaged in an activity that is within the  
4 scope of employment." Daugherty v. Allee's Sports Bar & Grill, 260  
5 S.W.3d 869, 873 (Mo. Ct. App. 2008)(internal quotations omitted).  
6 "The conduct causing the tort must naturally arise from the  
7 employee's work." Id. For example, in Daugherty, a bar patron was  
8 injured when a bartender placed a toothpick in the patron's beer  
9 bottle as a joke. Id. The court found the tort was within the  
10 scope of the employee's conduct because placing the toothpick in  
11 the bottle occurred while the bartender was engaged in the  
12 customary act of a bartender, i.e., serving a beer. Id.

13 Here, Plaintiff argues that the federal judges committed torts  
14 in denying his petitions for reinstatement and that the Court  
15 should hold an evidentiary hearing to inquire into the judges'  
16 motives in rendering those decisions. Judicial decision-making is  
17 the essence of employment as a judge. Like a tort committed during  
18 the customary act of a bartender serving a beer in Green, the  
19 judges actions in rendering decisions on Plaintiff's petitions are  
20 within the scope of judicial employment, regardless of the  
21 underlying motives.

22 Therefore, the Court concludes that (1) the federal judges  
23 were acting within the scope of their employment and the United  
24 States should be substituted as a defendant in their place and (2)  
25 no evidentiary hearing on the matter is required.

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1                   **C. Federal Defendants' Motion to Dismiss**

2                   **1. FTCA Claims Against the United States**

3                   The FTCA requires a plaintiff to exhaust his administrative  
4 remedies before bringing a tort claim against the United States. 28  
5 U.S.C. § 2675(a). This requirement is jurisdictional and must  
6 therefore be strictly adhered to. Brady v. United States, 211 F.3d  
7 499, 502 (9th Cir. 2000).

8                   Fletcher did not submit an administrative claim to the  
9 Administrative Office of the United States. Accordingly, this  
10 Court lacks subject matter jurisdiction to adjudicate the tort  
11 claims against the United States pursuant to the FTCA, and those  
12 claims are hereby dismissed without prejudice.

13                   **2. Bivens Claims Against Federal Judge**

14                   The Federal Defendants move to dismiss the Bivens claims  
15 against the federal judges for lack of personal jurisdiction.

16                   Federal Rule of Civil Procedure 12(b)(2) provides that a court  
17 may dismiss a suit for lack of personal jurisdiction. Fed. R. Civ.  
18 P. 12(b)(2). The plaintiff has the burden of establishing that  
19 jurisdiction exists. The plaintiff need only make "a *prima facie*  
20 showing of jurisdictional facts to withstand the motion to  
21 dismiss." Pebble Beach Co. v. Caddy, 453 F.3d 1151, 1154 (9th Cir.  
22 2006). "[U]ncontroverted allegations in [plaintiff's] complaint  
23 must be taken as true, and conflicts between the facts contained in  
24 the parties' affidavits must be resolved in [plaintiff's] favor." Rio  
25 Props., Inc. v. Rio Int'l Interlink, 284 F.3d 1007, 1019 (9th  
26 Cir. 2002).

27                   District courts have the power to exercise personal  
28 jurisdiction to the extent authorized by the law of the state in

1 which they sit. Fed. R. Civ. P. 4(k)(1)(A); Panavision Int'l, L.P. v. Toeppen, 141 F.3d 1316, 1320 (9th Cir. 1998). Because  
2 California's long-arm statute authorizes personal jurisdiction  
3 coextensive with the Due Process Clause of the United States  
4 Constitution, see Cal. Civ. Code § 410.10, this Court may exercise  
5 personal jurisdiction over a nonresident defendant when that  
6 defendant has "at least 'minimum contacts' with the relevant forum  
7 such that the exercise of jurisdiction 'does not offend traditional  
8 notions of fair play and substantial justice.'" Schwarzenegger v. Fred Martin Motor Co., 374 F.3d 797, 800-01 (9th Cir. 2004) (citing Int'l Shoe Co. v. Washington, 326 U.S. 310, 316 (1945)). The  
9 contacts must be of such a quality and nature that the defendants  
10 could reasonably expect "being haled into court there." World-Wide Volkswagen v. Woodson, 444 U.S. 286, 297 (1980).

11 Fletcher has not alleged that any of the traditional bases of  
12 personal jurisdiction exist over the Missouri federal judges.  
13 Fletcher has not alleged the Missouri federal judges have had  
14 continuous, systematic, and substantial contacts with California.  
15 Thus, there is no basis for general personal jurisdiction over the  
16 Missouri federal judges. Helicopteros Nacionales de Colombia, S.A. v. Hall, 466 U.S. 408, 414 n.9 (1984). Therefore, this Court can  
17 only exercise specific personal jurisdiction over the Missouri  
18 federal judges if they had "certain minimum contacts with  
19 [California] such that the maintenance of the suit does not offend  
20 traditional notions of fair play and substantial justice." Int'l Shoe Co. v. Washington, 326 U.S. 310, 316 (1945) (internal  
21 quotations omitted).  
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1        Specific jurisdiction exists where a case arises out of forum-  
 2 related acts. The Ninth Circuit analyzes specific jurisdiction  
 3 according to a three-prong test:

4        (1) The non-resident defendant must purposefully direct his  
 5 activities or consummate some transaction with the forum or  
 6 resident thereof; or perform some act by which he purposefully  
 7 avails himself of the privilege of conducting activities in  
 8 the forum, thereby invoking the benefits and protections of  
 9 its laws;  
 10       (2) the claim must be one which arises out of or relates to  
 11 the defendant's forum-related activities; and  
 12       (3) the exercise of jurisdiction must comport with fair play  
 13 and substantial justice, i.e. it must be reasonable.

14       Yahoo! Inc. v. La Ligue Contre le Rascisme, 433 F.3d 1199, 205-06  
 15 (9th Cir. 2006).

16       The first prong, often referred to as the purposeful availment  
 17 prong, may be satisfied through either purposeful availment or  
 18 purposeful direction analysis, depending on the situation.

19       Schwarzenegger, 374 F.3d at 803. The Ninth Circuit "evaluates  
 20 purposeful direction using the three-part 'Calder-effects' test,  
 21 taken from the Supreme Court's decision in [Calder v. Jones, 465  
 22 U.S. 783 (1984)]." Brayton Purcell LLP v. Recordon & Recordon, 575  
 23 F.3d 981, 986 (9th Cir. 2009); Schwarzenegger, 374 F.3d at 803.  
 24 "In Calder, the Supreme Court held that a foreign act that is both  
 25 aimed at and has effect in the forum state satisfies the purposeful  
 26 availment prong of the specific jurisdiction analysis." Bankcroft,  
 27 223 F.3d at 1087. "[T]he 'effects' test requires that the  
 28 defendant allegedly have (1) committed an intentional act, (2)  
 29 expressly aimed at the forum state, (3) causing harm that the  
 30 defendant knows is likely to be suffered in the forum state." Dole  
 31 Food Co., Inc. v. Watts, 303 F.3d 1104, 1111 (9th Cir. 2002).

32       Here, the second and third requirements have not been  
 33 established. The express aiming "requirement is satisfied when the

1 defendant is alleged to have engaged in wrongful conduct targeted  
2 at a plaintiff whom the defendant knows to be a resident of the  
3 forum state." Brayton Purcell, 575 F.3d at 986 (quoting Bancroft,  
4 223 F.3d at 1087). The decision to reject Plaintiff's petition for  
5 reinstatement before the WDMO was not expressly aimed at  
6 California, nor was it foreseeable that such a decision would cause  
7 harm in California (particularly because, at the time, Plaintiff  
8 lived in Missouri). Furthermore, the courts have long held that  
9 non-resident defendants may not be haled into court based on the  
10 plaintiff's unilateral activity. Hanson v. Denckla, 357 U.S. 235,  
11 253 (1958) ("The unilateral activity of those who claim some  
12 relationship with a nonresident defendant cannot satisfy the  
13 requirement of contact with the forum State."). Plaintiff's  
14 unilateral decision to move to California after the WDMO denied his  
15 petitions for reinstatement cannot serve as a basis for personal  
16 jurisdiction over the nonresident federal judges.

17 Therefore, Plaintiff's Bivens claims against the federal  
18 judges are dismissed for lack of personal jurisdiction.

19 **3. Bivens Claims Against WDMO**

20 The United States has not waived sovereign immunity with  
21 respect to Fletcher's Bivens claims against the WDMO. "Sovereign  
22 immunity is jurisdictional in nature." F.D.I.C. v. Meyer, 510 U.S.  
23 471, 475 (1994). Accordingly, this Court lacks subject matter  
24 jurisdiction over the Bivens claims against the WDMO and the United  
25 States. Generally, dismissal for lack of subject matter  
26 jurisdiction is without prejudice. However, when lack of subject  
27 matter jurisdiction is based on a defendant's sovereign immunity,  
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1 dismissal with prejudice is proper. See Frigard v. U.S., 862 F.2d  
2 201, 204 (9th Cir. 1988).

3 Accordingly, Fletcher's Bivens claims against the WDMO and the  
4 United States are dismissed with prejudice.

5 **4. Breach of Contract Claim**

6 Plaintiff asserts a breach of contract claim in his eleventh  
7 cause of action. The United States Court of Federal Claims has  
8 exclusive jurisdiction over breach of contract claims seeking more  
9 than \$10,000 in damages. 28 U.S.C. § 1491(a)(1); Hewitt v.  
10 Grabicki, 749 F.2d 1373, 1382 (9th Cir. 1986).

11 While Plaintiff has not alleged a specific dollar amount under  
12 his breach of contract claim, he alleges he has lost past and  
13 future income as a result of his suspension from the practice of  
14 law for several years. The Court finds reasonable attorney annual  
15 incomes over several years total more than \$10,000.

16 This Court lacks subject matter jurisdiction to adjudicate  
17 Fletcher's breach of contract claim, and the claim is therefore  
18 dismissed.

19 **5. Administrative Procedure Act Claim**

20 Plaintiff's remaining claim against the federal defendants is  
21 an Administrative Procedure Act (the "APA") claim. However, the  
22 APA precludes claims against the judiciary. 5 U.S.C. §  
23 701(b)(1)(B). Therefore, Plaintiff's APA claim is dismissed with  
24 prejudice.

25 **D. Missouri State Defendants**

26 Pursuant to the Rooker-Feldman doctrine, "[a] federal district  
27 court does not have subject matter jurisdiction to hear a direct  
28 appeal from the final judgment of a state court." Noel v. Hall, 341

1 F.3d 1148, 1154 (9th Cir. 2003); see also id. (noting that "[t]he  
2 Rooker-Feldman doctrine takes its name from Rooker v. Fidelity  
3 Trust Co., 263 U.S. 413 (1923), and District of Columbia Court of  
4 Appeals v. Feldman, 460 U.S. 462 (1983)"). Furthermore, "[o]rders  
5 of a state court relating to the admission, discipline, and  
6 disbarment of members of its bar may be reviewed only by the  
7 Supreme Court of the United States on certiorari to the state  
8 court, and not by means of an original action in a lower federal  
9 court." MacKay v. Nesbett, 412 F.2d 846, 846 (9th Cir. 1969),  
10 cited with approval in Feldman, 460 U.S. at 486-87.

11 The Court concludes that Plaintiff's entire action against the  
12 Missouri State defendants constitutes a collateral attack on its  
13 decisions regarding his suspension and denial of his reinstatement.  
14 The Court cannot grant Plaintiff any relief in this case without  
15 "effectively revers[ing] the state court decision or void[ing] its  
16 holding." Snider v. City of Excelsior Springs, 154 F.3d 809, 811  
17 (8th Cir. 1998).

18 Therefore, all of Plaintiff's claims against the Missouri  
19 State Defendants are dismissed for lack of subject matter  
20 jurisdiction.

21 **E. Miscellaneous Requests and Applications**

22 Plaintiff has filed various other requests and applications,  
23 namely: (1) request for attorney fees and costs, (dkt. no. 8); (2)  
24 request for default judgment on equitable and injunctive claims,  
25 (dkt. no. 12); (3) ex parte application requesting a stay of this  
26 court's order setting a hearing date, (dkt. no. 42); and (4) ex  
27 parte application requesting that this court issue orders regarding  
28

1 plaintiff's previously filed motions, (dkt. no. 45). These  
2 requests and applications are hereby DENIED.

3 **F. California State Defendants**

4 Plaintiff also raises claims against Scott Carbaugh and the  
5 "Los Angeles Prosecutor's Office" relating to a secret file they  
6 allegedly maintained in July 2007. Plaintiff appears to raise  
7 various state-law tort theories as well as Section 1983 claims  
8 against these defendants. (FAC ¶¶ 46-47.)

9 In his FAC, Plaintiff states that he "dismissed the non-  
10 federal defendants on April 5, 2010, based solely on his concern  
11 that nepotism will be allowed to impede his fundamental right to  
12 access the courts." (FAC ¶ 4.) Plaintiff states that he  
13 "continues to include them as defendants in name only" because the  
14 Court "has not yet issued an order dismissing these parties," and  
15 that he "has not served a copy of this Amended Complaint on the  
16 dismissed defendants . . . ." (Id.)

17 Because Defendant has opposed the motion to dismiss filed by  
18 the Missouri State Defendants but has filed no proof of service as  
19 to the California State Defendants, the Court construes Plaintiff's  
20 statement that he "dismissed" the non-federal defendants as a  
21 notice of voluntary dismissal as to the California State  
22 Defendants, namely Scott Carbaugh and the Los Angeles District  
23 Attorney's Office. Fed. R. Civ. P. 41(a)(1)(A)(i) (stating that  
24 "the plaintiff may dismiss an action without a court order by  
25 filing" a "notice of dismissal before the opposing party serves  
either an answer or a motion for summary judgment" and that such  
dismissal is without prejudice).

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1       Therefore, the Court dismisses the claims against Scott  
2 Carbaugh and the Los Angeles District Attorney's Office without  
3 prejudice.

4 **III. CONCLUSION**

5       For the foregoing reasons, the Court:

- 6           (1) DENIES Plaintiff's request to withdraw the FAC
- 7           (2) DENIES Plaintiff's request for an evidentiary hearing
- 8           (3) GRANTS the Federal Defendants' Motion to Dismiss
- 9           (4) GRANTS the Missouri State Defendants' Motion to Dismiss
- 10           (5) Dismisses Without Prejudice claims against the California  
11           State Defendants; and
- 12           (6) DENIES Plaintiff's various requests and applications,  
13           docket nos. 8, 12, 42, and 45.

14 IT IS SO ORDERED.

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16  
17 Dated: July 13, 2010



18 DEAN D. PREGERSON  
19 United States District Judge

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